



100

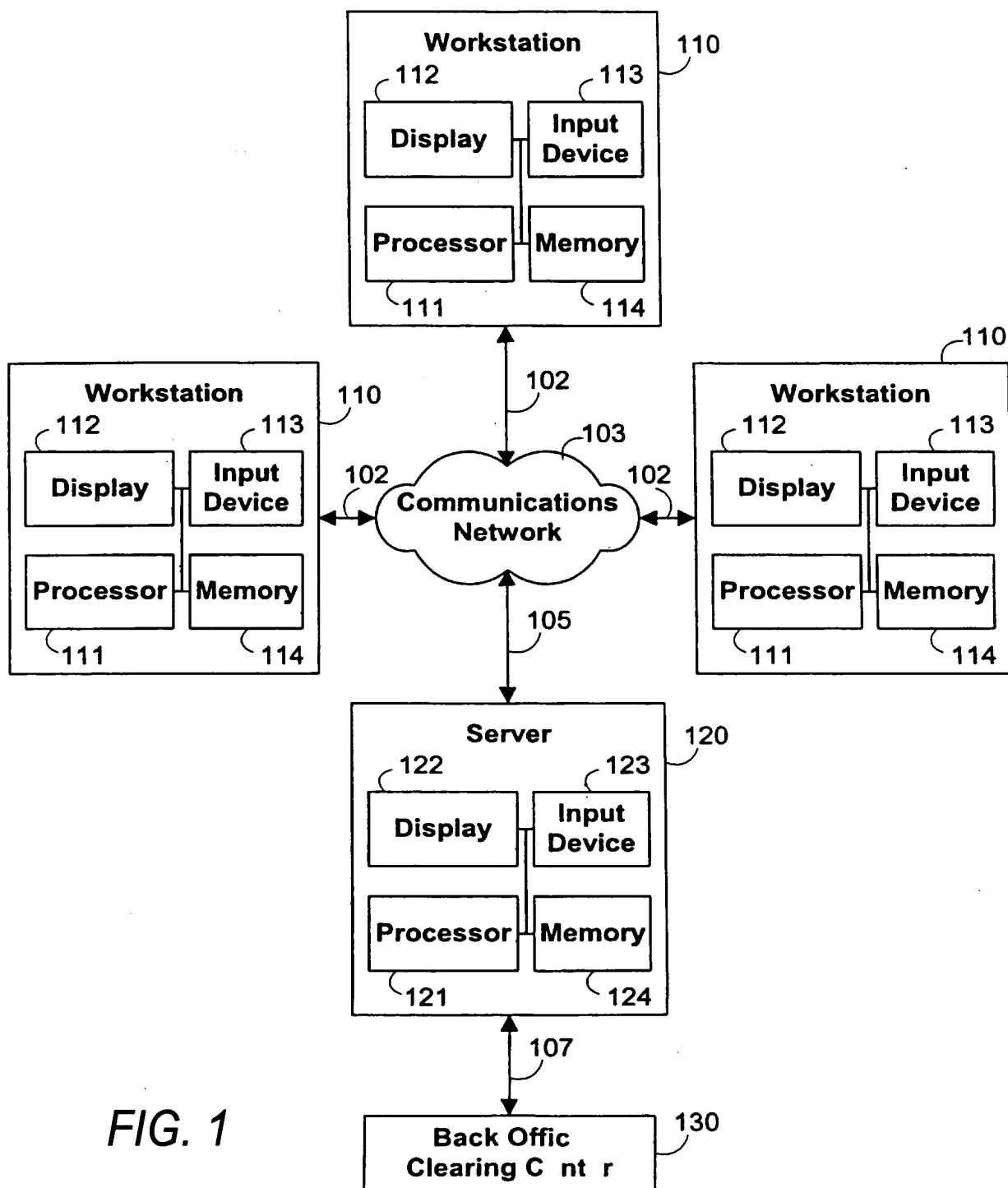


FIG. 1

Applicants: Howard Lutnick et al.

Application No.: 10/699,858

Confirmation No.: 7552

For: KEYBOARD TRADING SYSTEM

Agent: Hassan Albakri (Limited Recognition)

Docket No.: CF 86

Filed: October 31, 2003

Sheet 2 of 11

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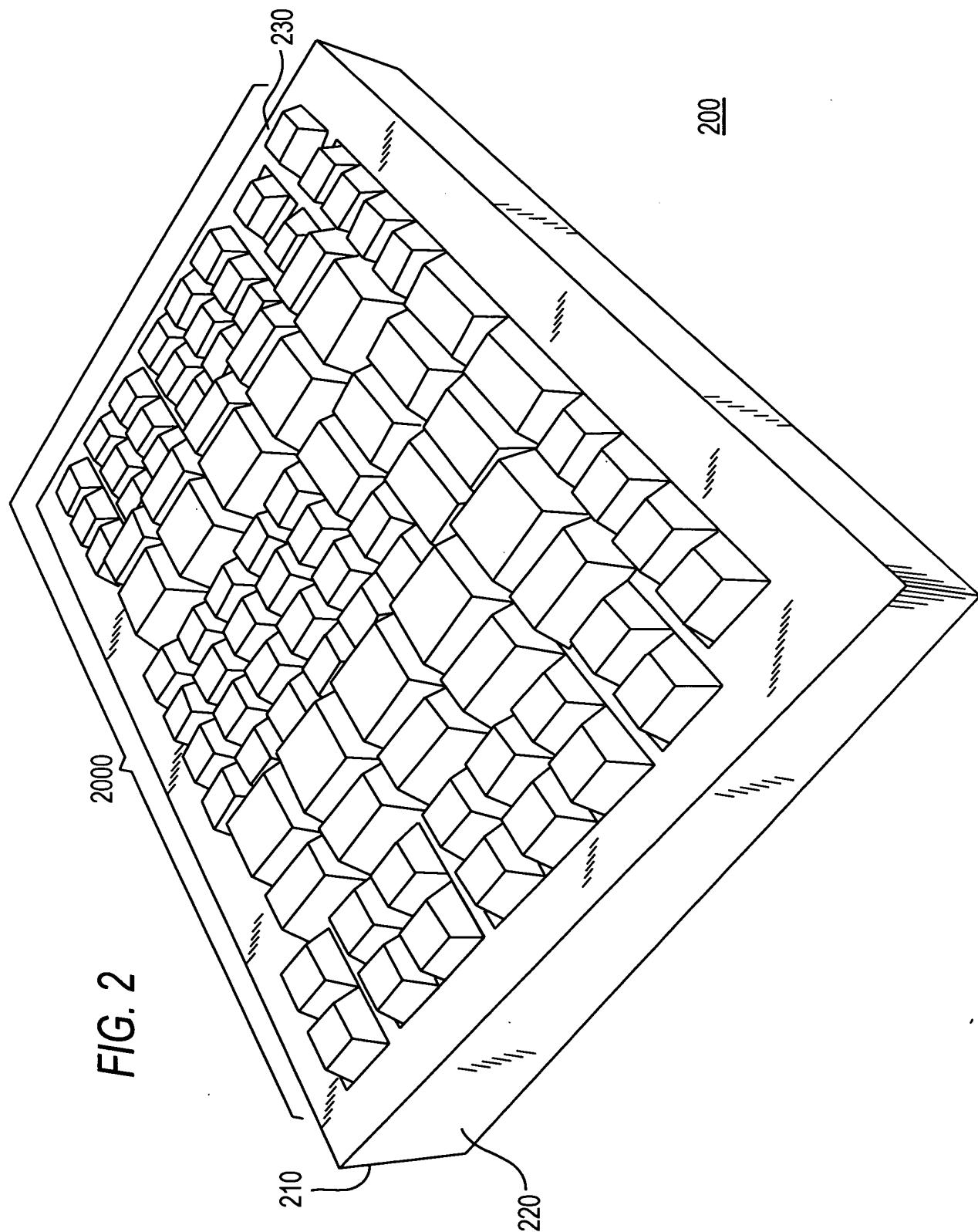
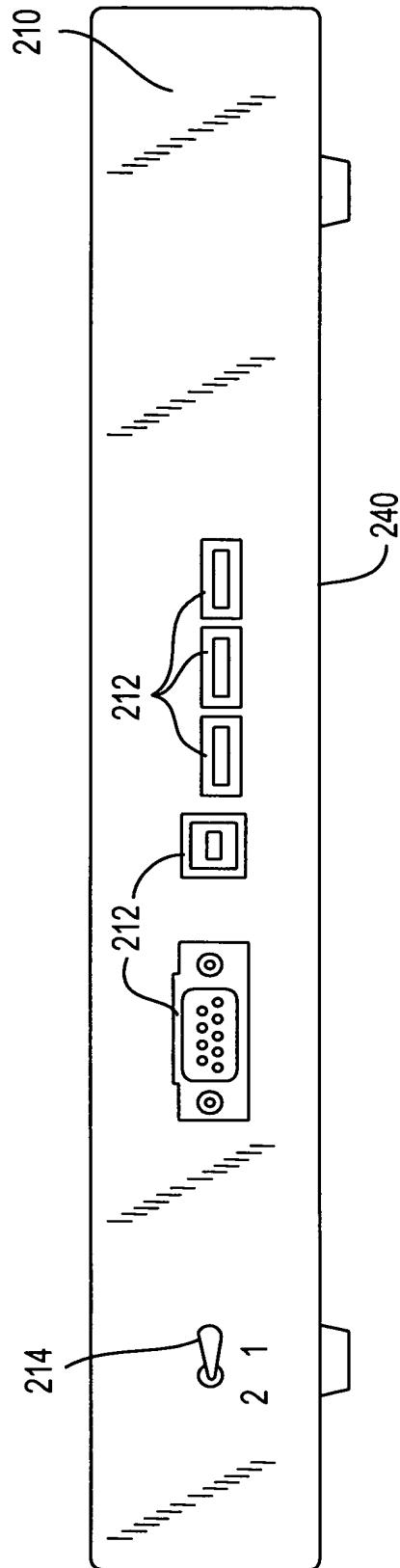


FIG. 3



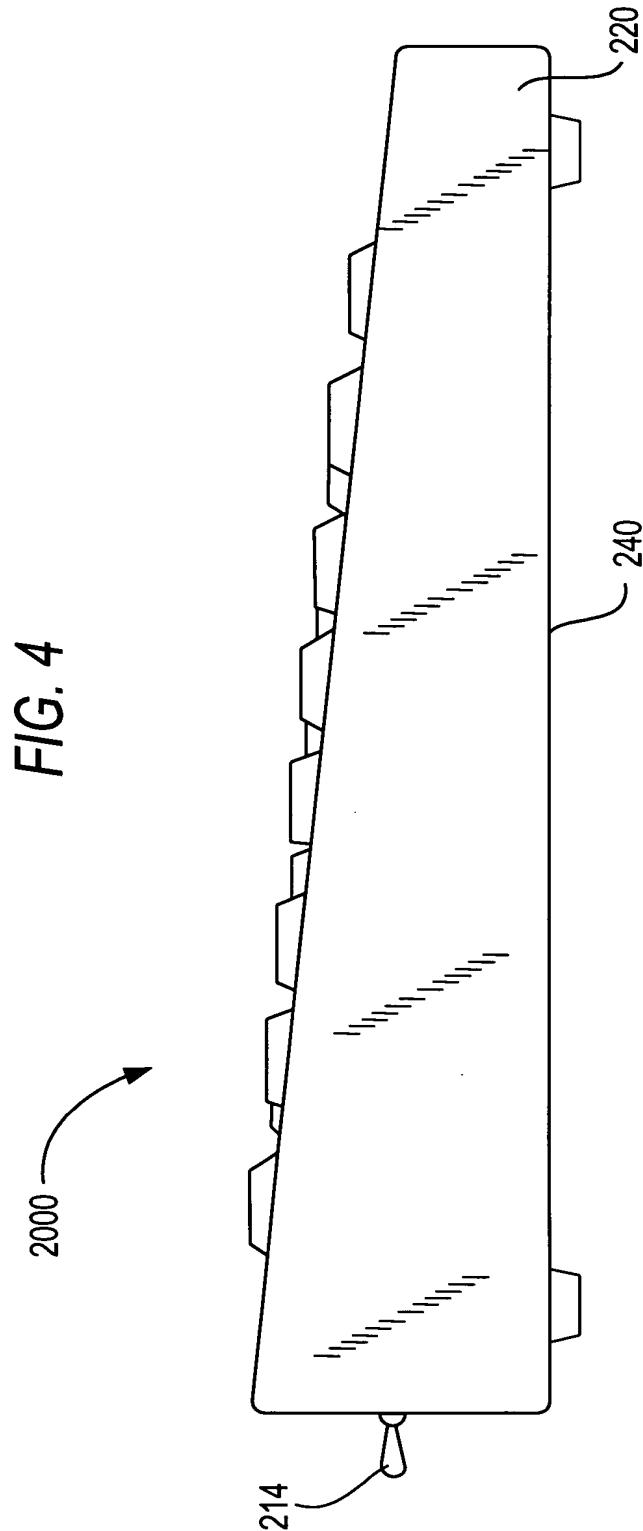
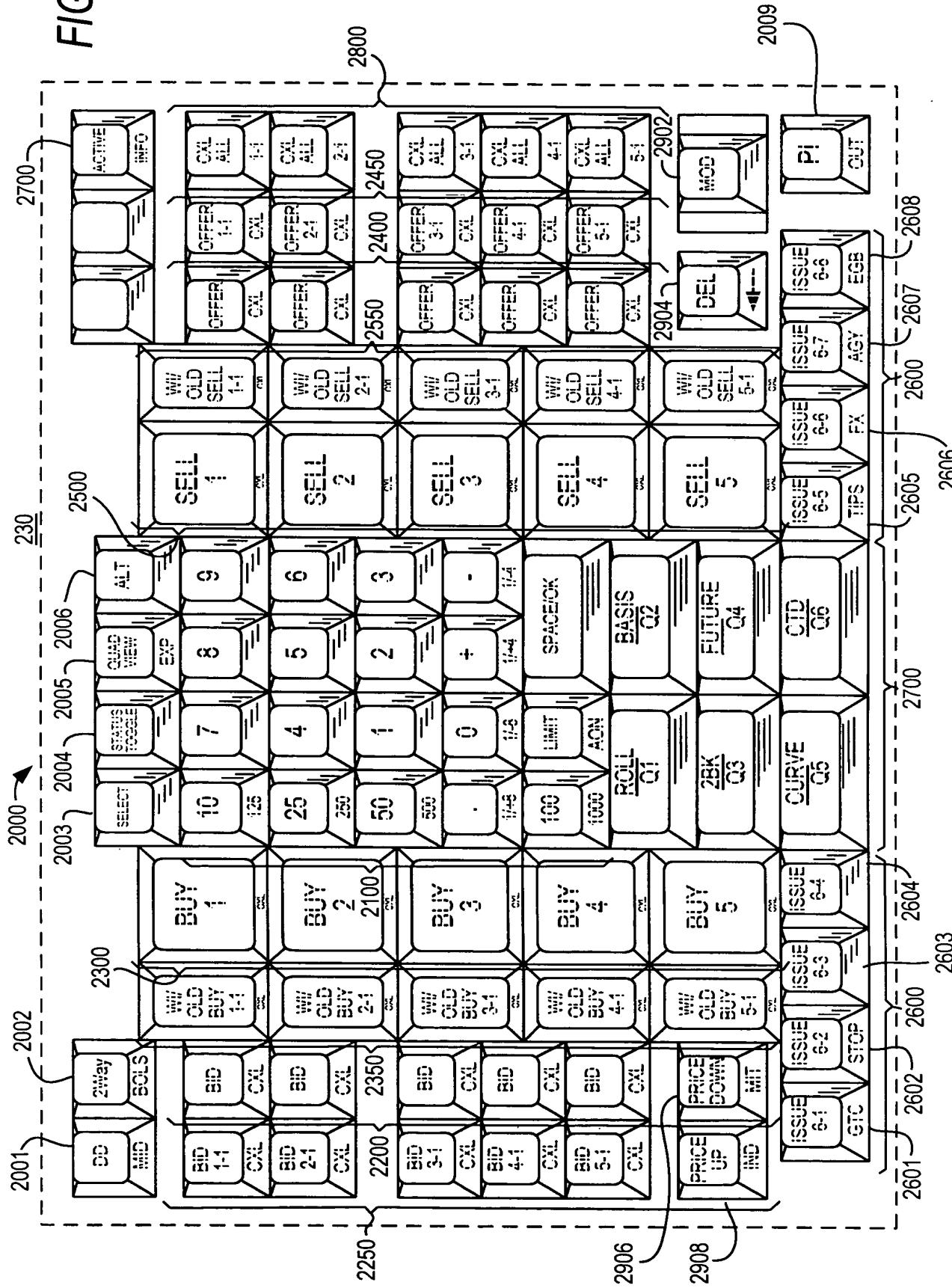


FIG. 4

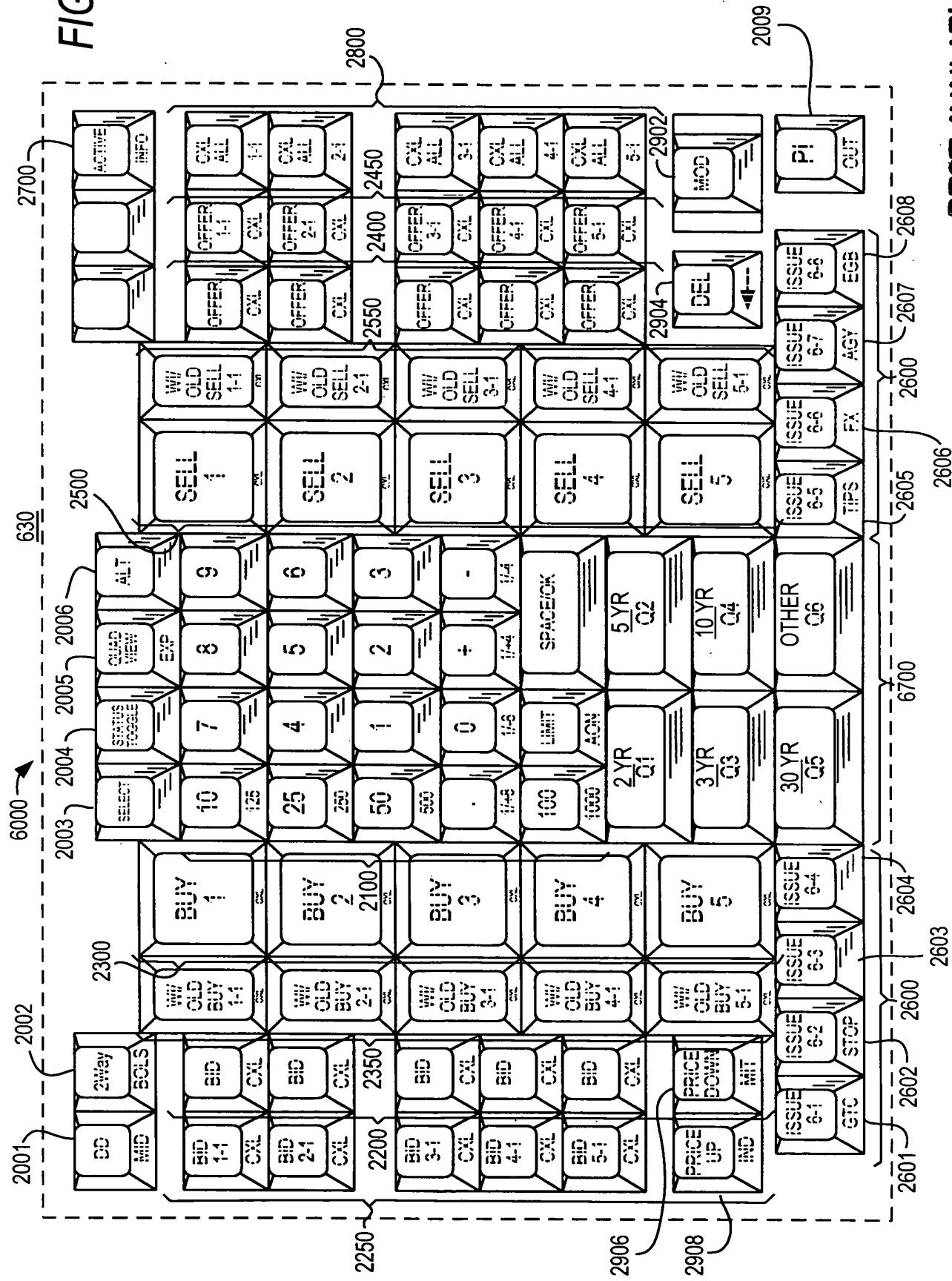
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FIG. 5



BEST AVAILABLE COPY

FIG. 6



BEST AVAILABLE COPY

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FIG. 7

Active Orders							Trade History (1)							Market History							Client Checkout							Status						
Status	Type	Size	Inst Name	Price/Rate	Time ▽	Comments																												
ACCEPTED	OFFER	1	tip - 510Y	111.17	11:12:24 03 / 31	Command Successful																												
ACCEPTED	OFFER	1	tip - 410Y	112.30+	11:12:22 03 / 31	Command Successful																												
ACCEPTED	OFFER	1	tip - 310Y	115.24	11:12:18 03 / 31	Lock price market accepted																												
ACCEPTED	OFFER	1	tip - 210Y	111.30+	11:12:17 03 / 31	Command Successful																												
ACCEPTED	OFFER	1	tip - 110Y	111.21	11:12:14 03 / 31	Command Successful																												
780																																		
790																																		
760																																		
750																																		
740																																		
710																																		

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800

710	2Y□ 1.62 03 / 05	- 100.056	◊ x1		1^
	100.052↑ L100.02				
710	OLD 1.50 02 / 05	◊ 99.31	- 99.31	◊ 1x1	
	ROL 504 / 2Y	103.197	- 103.197	5x5 g305.95 / p305.95	
	2BK 1.62 01 / 05	◊ 100.03+	- 100.03+	◊ 1x1	
	CUR 02Y / 3Y				
740	10Y 3.87 02 / 13	99.26+	- 99.26+	2x1	1 1^
	99.26+↑ L99.16	99.25	- 99.29+	1x1	
740	OLD 4.00 11 / 12	102.06+	- 102.06+	1x1	
	ROL 811 / 10Y	91.26	- 91.26	5x5 p132.59 / g132.59	
	2BK 4.37 08 / 12	103.12	- 103.12	1x1	
	CUR 10Y / 30Y	18.00	/ 18.00	5x5	
720	5Y□ 3.00 02 / 08	◊ 100.26	-	1x	1^
	100.262↓ L100.12	◊ 100.256	-	1x	
720	OLD 3.00 11 / 07	◊ 102.16+	- 102.16+	◊ 1x1	
	ROL NO6 / 5Y	101.00	- 101.00	5x5 g107.12 / p107.12	
	2BK 3.25 08 / 07	◊ 103.16	- 103.16	◊ 1x1	
	CUR 05Y / 10Y	200.00	/ 200.00	5x5	
750	30Y□ 5.37 02 / 31	◊ 99.31	- 99.31	◊ 1x1	1 1
	99.31	◊ 99.29	- 100.01	◊ 1x1	
750	OLD 6.25 05 / 30	◊ 116.21	- 116.21	◊ 1x1	
	ROL 530 / 30Y	104.162	- 104.162	5x5 p103.30 / g103.30	
	2BK 6.12 08 / 29	◊ 112.25+	- 112.25+	◊ 1x1	
	CUR 10Y / 30Y	18.00	/ 18.00	5x5	
730	3Y□ usg + 03Y				
	WI WI / 2Y ROL WI / 5Y				

FIG. 8

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FIG. 9

916

2Y □ 1.62/03/05 ◊ 100.042-100.046 ◊		1x67		1	67	5Y □ 3.00/02/08 TAK	1	0x	◊ 100.266 -
100.046 ↓	-100.052 ◊	x1	x1			100.266 ↑	◊ 100.262 -	1x	
L100.02	-100.056 ◊						◊ 100.26 -	1x	
						L100.12	◊ 100.256 -	1x	
2	1.50/02/05 ◊	99.31	-	99.31	◊	1x1	3.00/11/07 ◊	102.16+	◊ 1x1
3	504/2Y	103.197	-	103.197	◊	5x5	NO6/5Y	101.00	- 101.00
4	1.62/01/05 ◊	100.03+	-	100.03+	◊	1x1	3.25/08/07 ◊	103.16	◊ 1x1
5	404/2Y						506/5Y	103.13	- 103.13
1-1	02Y/3Y						1-1	WI/5Y	5x5
2-1	02Y/5Y	200.00	/	200.00		5x5	2-1	05Y/10Y	g81.27 / p81.27
3-1	02Y/ Jun 03						3-1	05Y/ Jun 03	
4-1	usg-705Y/ Ju						4-1	usg-205Y/ Ju	
5-1	2Y Jun 03						5-1	5Y Jun 03	
3Y □ usg + 03Y							10Y □ 3.87/02/13	99.26+	1x1
30Y □ 5.37/02/31							Q6 TIPS	FX	Agencies
							1	3.37/01/07	EGBs
									Euros
Active Orders		Trade History (1) \ Market History		Client Checkout \ Status		Comments			
Status	Type	Size	Inst Name	Price/Rate	Time ▽	Comments			
						Trade Ref#			
ACCEPTED	OFFER(LIMIT)	1	usg_02Y	100.056	11:09:38 03 / 31	Limit market accepted into Book			
ACCEPTED	OFFER	67	usg_02Y	100.046	11:09:34 03 / 31	Lock price market accepted			
ACCEPTED	BID	1	usg_10Y	99.26+	11:09:27 03 / 31	Lock price market accepted			
ACCEPTED	OFFER	1	usg_30Y	99.31	11:09:23 03 / 31	Lock price market accepted			
ACCEPTED	BID	5	usg_205Y / 5Y	103.13	11:09:16 03 / 31	OK: Bid 5@103.406250 Accepted !			
ACCEPTED	OFFER	5	usg_205Y / 5Y	103.13	11:09:15 03 / 31	OK: Offer 5@103.406250 Accepted !			

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FIG. 10

1000

910	<table border="1"> <tr> <td>2Y □</td><td>1.62 03/05</td><td>◆ 100.056</td><td>- 100.056</td><td>◆</td><td>1x1</td><td></td></tr> <tr> <td>5Y □</td><td>3.00 02/08</td><td>◆ 100.26</td><td>- 100.26</td><td>◆</td><td>1x1</td><td>1 ^</td></tr> <tr> <td></td><td>100.262</td><td>◆ 100.256</td><td>- 100.266</td><td>◆</td><td>1x1</td><td></td></tr> <tr> <td></td><td></td><td>◆ 100.24+</td><td>- 100.272</td><td>◆</td><td>1x1</td><td></td></tr> <tr> <td></td><td>L100.12</td><td>◆ 100.242</td><td>- 100.27+</td><td>◆</td><td>1x1</td><td></td></tr> </table>						2Y □	1.62 03/05	◆ 100.056	- 100.056	◆	1x1		5Y □	3.00 02/08	◆ 100.26	- 100.26	◆	1x1	1 ^		100.262	◆ 100.256	- 100.266	◆	1x1				◆ 100.24+	- 100.272	◆	1x1			L100.12	◆ 100.242	- 100.27+	◆	1x1																													
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930	<table border="1"> <tr> <td>30Y □</td><td>5.37 02/31</td><td>◆ 99.31</td><td>- 99.31</td><td>◆</td><td>1x1</td><td></td></tr> </table>						30Y □	5.37 02/31	◆ 99.31	- 99.31	◆	1x1																																																									
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11 / 11

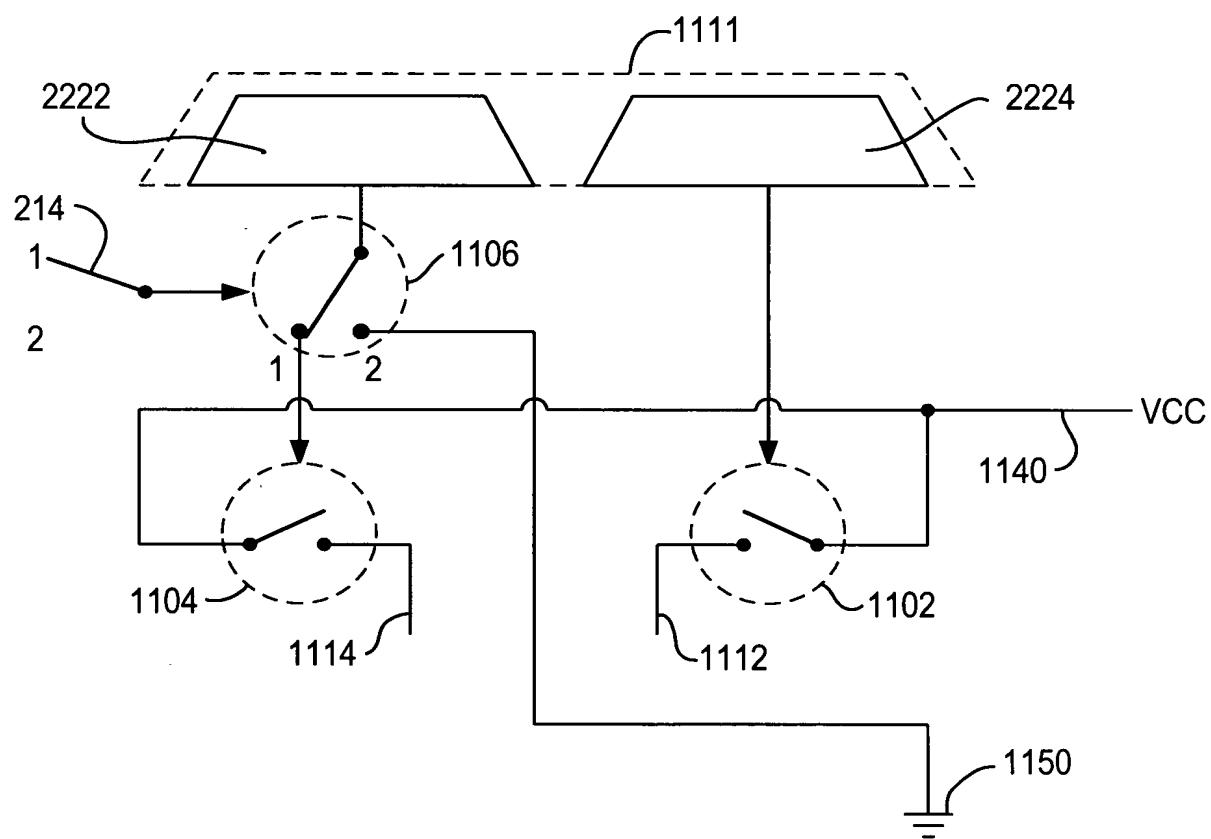


FIG. 11